

## **MEETING MINUTES**

**DATE: September 10, 2012**

**PLACE: Department of Business Regulation (DBR)**

**1511 Pontiac Avenue, Bldg 68-1 Conference Room**

**Cranston, RI 02920**

**MEMBERS PRESENT: Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro (Present 1:20 p.m. – 3:38 p.m.) and John K. Grosvenor**

**MEMBERS ABSENT: None**

**OTHERS PRESENT: Dawne Broadfield, Board Executive**

**OTHERS ABSENT: Brian J. Riggs, DBR, Administrator, Financial Management**

**Louis A. DeQuattro, Jr., Esq., CPA, DBR Deputy Director & Counsel**

**CALLED TO ORDER: Chair Prengaman called the meeting to order at 1:15 p.m.**

### **A. MINUTES OF THE MEETING OF THE BOARD**

**(1) Member Grosvenor moved to approve the open meeting minutes of July 9, 2012 as published. Vice-Chair Feibelman seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Member John K. Grosvenor. Secretary Christian J. Ladds abstained since he was not present at the meeting. Member David L.**

**DeQuattro was absent from this vote.**

**(2) Vice-Chair Feibelman moved to approve and seal the executive session meeting minutes of the July 9, 2012 as published. Member Grosvenor seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Member John K. Grosvenor. Secretary Christian J. Ladds abstained since he was not present at the meeting. Member David L. DeQuattro was absent from this vote.**

## **B. EXECUTIVE SESSION**

**(1) Member Grosvenor moved to convene into executive session at 1:16 p.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Vice-Chair Feibelman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro was absent from this vote.**

**(2) Member Grosvenor moved to adjourn from executive session and to reconvene to an open meeting at 2:57 p.m. pursuant to R.I.G.L. §42-46-4. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R.**

**Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.**

**(3) Member Grosvenor moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

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**(4) Member Grosvenor moved to record the votes taken in executive session in accordance with RI General Laws §42-46-4 as follows: Motions were made to close the following agenda items A, G and H. Motions were made to continue the following agenda items B, C, D, E, and F. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(5) Member Grosvenor moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law §42-46-5(a). Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R.**

**Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.**

**(6) In Executive Session closed matters, the vote was five (5) to zero (0), to close. Each vote was unanimous at the time that it was taken with no Board members recused and zero (0) Board member absent.**

**(7) In Executive Session pending matters, there are five (5) pending matters, comprised of zero (0) investigative matter and five (5) registration matters with one (1) recusal.**

### **C. OLD BUSINESS**

#### **(1) Rules and Regulations – (Review for Updating)**

**Revisions discussion at the July 9, 2012 meeting, have not yet been incorporated. Member Grosvenor moved to continue. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

#### **(2) Annual Meeting with the Building Officials and the State Building Code Commissioner**

##### **(Discussion for Scheduling)**

**The Board is waiting for a meeting date from Mr. Warren Ducharme. Member Grosvenor moved to continue. Vice-Chair Feibelman**

**seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(3) National Council of Architectural Registration Boards (NCARB)  
New England Conference of Architectural Registration Boards  
(NECARB)**

- 2012 Fall Conference – Boston, MA (November 1, 2012)**
- Chair Prengaman updated the Board. The 2012 Fall Conference will be held on November 1, 2012 in the afternoon with dinner to follow in Boston, MA, along with the Member Board Chairs/Member Board Executives (MBC/MBE) conference beginning on November 1, 2012. This will consolidate fees, travel and time. Details are being finalized.**

**Secretary Ladds moved to continue. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.**

**(4) Certificate of Authorization (COA) Ownership – General Discussion.**

**Discussion was moved to executive session.**

**D. NEW BUSINESS**

**(1) National Council of Architectural Registration Boards (NCARB)  
New England Conference of Architectural Registration Boards  
(NECARB)**

- **2013 Joint Regional Spring Meeting – (Regions 1, 2 and 6) – (Rhode Island is Hosting)**
- **Chair Prengaman updated the Board. Rhode Island is hosting with Regions 2 and 6 coming.**
- **NECARB hired an “event planner” to plan the event.**
- **NECARB signed the hotel contract with the Renaissance Providence Downtown Hotel.**
- **The dates are March 14-17, 2013.**
- **There will be an off-site dinner at the “Providence Art Club.”**
- **NECARB is looking for a Lunch Speaker (entertainment) for approximately a half hour. Member Grosvenor suggested Morgan Grefe, Executive Director, Rhode Island Historical Society.**

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**Member DeQuattro moved to continue. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.**

**(2) State of Rhode Island – Open Meetings checklist and Changes to Access to Public Records Act**

**Vice-Chair Feibelman moved that Mrs. Broadfield study this memo,**

report back to the Board with any requirements that the Board does not follow, and then request legal counsel how to comply and continue. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

**E. National Council of Architectural Registration Boards (NCARB) Information and Updates)-(Review/Take Action)**

**(1) No comments made on NCARB publications.**

**F. APPLICATIONS**

**1. RECIPROCAL REGISTRATION REQUESTS FROM INDIVIDUALS REGISTERED IN OTHER STATES SEEKING REGISTRATION IN RHODE ISLAND**

**(A) Member DeQuattro moved to approve the following reciprocal registration requests pending receipt of the “Tax Payer Status Affidavit” as submitted with an expiration date of December 31, 2013. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(1) BRATICHAK, John Michael (2) GALLANT, Jeremiah H.**

**(B) Member DeQuattro moved to approve the following reciprocal registration requests as submitted with an expiration date of December 31, 2013. Member Grosvenor seconded. Motion passed,**

**5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(1) BARRY, Donald Francis (5) ROSZKOWSKI, Dan J.**

**(2) LASTOVICA, James A. (6) WARREN, Dana Kosior**

**(3) MAJORS, Jr., Richard A. (7) ZIOLKOWSKI, Frank S.**

**(4) PARDUS-GOODMAN, Linda M.**

**(C) Member DeQuattro moved to approve the following reciprocal registration request as submitted with an expiration date of December 31, 2013. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(1) BEALE, Roger L.**

## **2. REGISTRATION REINSTATEMENT REQUESTS FROM INDIVIDUALS REQUESTING REINSTATEMENT OF HIS/HER RHODE ISLAND REGISTRATION.**

**(A) Member DeQuattro moved to approve the following reciprocal registration reinstatement requests with an expiration date of December 31, 2013. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(1) STICKLES, Robert C. (#1291) (2) McManus, John Nicklin (#2890)**

**3. INITIAL REGISTRATION REQUESTS FROM INDIVIDUALS WHO HAVE COMPLETED THE REQUIRED EDUCATION, INTERN DEVELOPMENT PROGRAM (IDP) AND THE ARCHITECTURAL REGISTRATION EXAMINATION (ARE) THROUGH THE NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS (NCARB) DIRECT REGISTRATION PROGRAM**

**(A) Member DeQuattro moved to approve the initial registration for Pater Y. Hess as a result of the National Council of Architectural Registration Boards (NCARB) Direct Registration program upon receipt of the sixty-dollar (\$60) Initial Registration fee and the “Tax Affidavit” indicating that he is current with Rhode Island taxes for the NCARB Green Cover as presented with an expiration date of December 31, 2013. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(1) HESS, Peter Y.**

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**4. CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)**

**(A) Vice-Chair Feibelman moved to approve the applications for the Certificates of Authorization for the Sole Proprietorships for John N. Bratichak, Kevin S. Caldwell, Gallant Architects and Robert C. Stickles, Architect as submitted. Member Grosvenor seconded.**

**Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(B) Vice-Chair Feibelman moved to conditionally approve the applications for the Certificates of Authorization for the Limited Liability Companies for Compass Group Architecture, LLC, LPG Designs, LLC, Peter Hess Design Build, LLC and W. Douglas Gilpin, Jr. FAIA – Architect, LLC pending receipt of the required “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(C) Vice-Chair Feibelman moved to conditionally approve the application for Certificate of Authorization for the Limited Liability Partnership for Jacobs/Wyper Architects, LLP pending receipt of the required “Certificate of Legal Existence” issued by the Rhode Island Secretary of State’s Office. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(D) Member Grosvenor moved to conditionally approve the applications for the Certificates of Authorization for the Corporations for The Ethos Workshop, Ltd.; Richter Cornbrooks Gribble, Inc. and SmithGroupJJR, Inc., #A-14,440, - Name Change from SmithGroup, Inc. as submitted pending receipt of the required “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office; and Nelco Architecture, Inc., #A-14,355-Change in Responsible Control removing Stephen Furnstahl (3685); Stantec Architecture Inc., #A-14,432-Change in Responsible Control removing John W. Johnson (2690) and adding Gary Semling (3877). Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(E) After preliminary review, Vice-Chair Feibelman asked Mrs. Broadfield to inquire if there is more than one (1) architect on staff since the use of the plural word “architects” in the firm name. An e-mail was sent, and the Board is waiting for a response. Vice-Chair Feibelman moved to continue the application for Certificate of Authorization for the Corporation for McManus Architects, Inc., as submitted. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**(F) A response was received to the Board's prior inquiry as to why the firm checked "no" instead of "yes" in answer to the question concerning the prior practice or offer to practice. After review of the response, Vice-Chair Feibelman moved to approve the application for Certificate of Authorization for the Corporation of Pfeiffer Partners, Architect Inc. as submitted. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) recused and zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, and Members David L. DeQuattro and John K. Grosvenor. Secretary Christian J. Ladds recused.**

## **G. ADJOURNMENT**

**Chair Prengaman announced that the next Board meeting is scheduled for Monday, October 15, 2012, at 1:00 p.m. at the DBR, John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 69-1, Cranston, RI 02920. Member DeQuattro moved to adjourn the meeting at 3:38 p.m. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor.**

**Respectfully submitted,**

**Christian J. Ladds, AIA  
Secretary**

**CJL/dmb**

• **Posted on October 16, 2012 electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.**

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**CERTIFICATE OF AUTHORIZATION (COA) APPLICATIONS**

**Board Meeting of SEPTEMBER 10, 2012**

**SOLE PROPRIETORSHIPS**

**JOHN N. BRATICHAK - 0 - NY APPROVED – 09/10/12**

**KEVIN S. CALDWELL - 0 - MA APPROVED – 09/10/12**

**GALLANT ARCHITECTURE - 0 - MA APPROVED – 09/10/12**

**ROBERT C. STICKLES, ARCHITECT - 0 - AZ APPROVED – 09/10/12**

**LIMITED LIABILITY COMPANIES**

**COMPASS GROUP ARCHITECTURE, LLC - 0**

**- MA CONTINUE-PENDING COMPLIANCE WITH**

**FIRM NAME – 07/09/12**

**CORRECTED APPL REC'D – 07/26/12**

**APPROVED – 09/10/12**

**LPG DESIGNS, LLC - 0 - MA APPROVED – 09/10/12**

**PETER HESS DESIGN BUILD LLC - 0 - RI APPROVED – 09/10/12**

**W. DOUGLAS GILPIN. JR FAIA – APPROVED – 09/10/12**

**ARCHITECT, LLC - 0 - VA**

**LIMITED LIABILITY PARTNERSHIP**

**JACOBS/WYPER ARCHITECTS, LLP - 0 - PA APPROVED – 09/10/12**

**CORPORATIONS**

**THE ETHOS WORKSHOP, LTD. - 0 - IL APPROVED – 09/10/12**

**MCMANUS ARCHITECTS, INC. - 0 - MA CONTINUE FOR RESPONSE –  
NUMBER**

**OF ARCHITECTS FOR USE OF THE  
PLURAL WORD “ARCHITECTS IN THE  
FIRM NAME – 09/10/12**

**NELCO ARCHITECTURE, INC. - 0 - PA APPROVED – 09/10/12**

**#A-14,355 – Change in Responsible Control**

**Removed: Stephen Furnstahl (3685)**

**PFEIFFER PARTNERS ARCHITECT INC. - 0 - CA CONTINUE -  
EXPLAIN ANSWERING “NO”**

**INSTEAD OF “YES” TO THE QUESTION  
OF OFFERING WITHOUT BEING  
REGISTERED – 07/09/12  
APPROVED – 09/10/12**

**RICHTER CORNBROOKS GRIBBLE, INC. - 0 - MD APPROVED –  
09/10/12**

**STANTEC ARCHITECTURE INC. - 0 - CO APPROVED – 09/10/12**

**#A-14,432 – Change in Responsible Control**

**Removed: John W. Johnson (2690)**

**Added: Gary Semling (3877)**

**SMITHGROUPJJR, INC. \$50. MI APPROVED – 09/10/12**

**#A-14,440 – Name Change**

**From: SmithGroup, Inc.**

**09/10/12**

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