

MEETING MINUTES

DATE: May 14, 2012

PLACE: Department of Business Regulation (DBR)

1511 Pontiac Avenue, Bldg 68-1 Conference Room

Cranston, RI 02920

MEMBERS PRESENT: Chair David R. Prengaman; Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor

MEMBERS ABSENT: Vice-Chair Barbara Feibelman

OTHERS PRESENT: Dawne Broadfield, Board Executive

Brian J. Riggs, DBR, Administrator, Financial Management

(Present 2:02 p.m. – 2:34 p.m.)

OTHERS ABSENT: Louis A. DeQuattro, Jr., Esq., CPA, DBR Deputy Director & Counsel

CALLED TO ORDER: Chair Prengaman called the meeting to order at 1:10 p.m.

A. MINUTES OF THE MEETING OF THE BOARD

(1) Secretary Ladds moved to approve the open meeting minutes of April 9, 2012 as published. Member Grosvenor seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Member and John K. Grosvenor. Member David L. DeQuattro abstained since he was not at the last meeting and

Vice-Chair Barbara Feibelman was absent from this vote.

(2) Secretary Ladds moved to approve and seal the executive session meeting minutes of the April 9, 2012 as published. Member Grosvenor seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds, and Member John K. Grosvenor. Member David L. DeQuattro abstained since he was not at the last meeting and Vice-Chair Barbara Feibelman was absent from this vote.

B. EXECUTIVE SESSION

(1) Member Grosvenor moved to convene into executive session at 1:12 p.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(2) Member Grosvenor moved to adjourn from executive session and to reconvene to an open meeting at 2:15 p.m. pursuant to R.I.G.L. §42-46-4. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman,

Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(3) Member Grosvenor moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

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(4) Member Grosvenor moved to record the votes taken in executive session in accordance with RI General Laws §42-46-4 as follows: Motions were made to close the following agenda items B, C, E, J and O. Motions were made to continue the following agenda items A, D, F. G. H. I. K. L. M. and N. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(5) Member Grosvenor moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law

§42-46-5(a). Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(6) In Executive Session closed matters, the vote was four (4) to zero (0), to close. Each vote was unanimous at the time that it was taken with no Board members recused and one (1) Board member absent.

(7) In Executive Session pending matters, there are ten (10) pending matters, comprised of one (1) investigative matter and nine (9) registration matters with one (1) recusal.

C. OLD BUSINESS

(1) Rules and Regulations – (Review for Updating)

Mrs. Broadfield provided a “draft” of revisions of the Rules and Regulations. The revisions were incorporated based on items that were discussed at previous Board meetings. After the last meeting, all changes discussed were incorporated and re-distributed.

Secretary Ladds moved to continue. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair

Barbara Feibelman was absent from this vote.

(2) Annual Meeting with the Building Officials and the State Building Code Commissioner

(Discussion for Scheduling)

The Board is waiting for a meeting date from Mr. Warren Ducharme. Secretary Ladds moved to continue. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(3) National Council of Architectural Registration Boards (NCARB)

- 2012 Annual Meeting – Minneapolis, MN (June 20 -24, 2012)**
- Funding – Chair Prengaman will be attending as the funded Regional Officer, NECARB Secretary/Treasurer. Mrs. Broadfield will be attending under the NCARB MBE Scholarship.**
- 2012 Officer Candidate Resumes – Chair Prengaman indicated that the Secretary Officer position is contested. Those candidates are Margo Jones/MA and John Baker/CA. The Board will be voting for Margo Jones/MA.**
- 2012 Draft Resolutions – The “Resolutions” consist mostly of clarifications and language clean up. The Board will be voting to approve all resolutions.**

Secretary Ladds moved to continue. Member Grosvenor seconded.

Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(4) Board Member Appointment

• John K. Grosvenor – Requesting re-appointment for a second term. Member Grosvenor’s term expired on April 1, 2012. He was re-appointed for a five (5) year term expiring April 1, 2017. The Board congratulated Member Grosvenor. Secretary Ladds moved to close. Member DeQuattro seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

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(5) “Nautical Architect” – The Board addressed this item at the last meeting. Secretary Ladds moved to close. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

D. NEW BUSINESS

(1) Election of Officers

Member Grosvenor moved to elect David R. Prengaman, Chair; Barbara Feibelman, Vice-Chair and Christian J. Ladds, Secretary for a period of one (1) year. Member DeQuattro seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

E. National Council of Architectural Registration Boards (NCARB) Information and Updates)-(Review/Take Action)

(1) NCARB – Interns and Supervisors: The New IDP (Intern Development Program) Guidelines are Here – So noted.

(2) NCARB News Clips: NCARB Launches Final Phase of IDP 2.0 – So noted.

F. APPLICATIONS

1. RECIPROCAL REGISTRATION REQUESTS FROM INDIVIDUALS REGISTERED IN OTHER STATES SEEKING REGISTRATION IN RHODE ISLAND

(A) Secretary Ladds moved to approve the following reciprocal registration requests as submitted with an expiration date of December 31, 2013. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David

R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(1) ELLO, Conrad (4) LAPPE, Bernard

(2) GAGLIARDI, George (5) LEACH, Blair S.

(3) GROSS, David E. (6) MURRAY, JR., William B.

2. REGISTRATION REINSTATEMENT REQUESTS FROM INDIVIDUALS REQUESTING REINSTATEMENT OF HIS/HER RHODE ISLAND REGISTRATION.

(A) None at this time.

3. INITIAL REGISTRATION REQUESTS FROM INDIVIDUALS WHO HAVE COMPLETED THE REQUIRED EDUCATION, INTERN DEVELOPMENT PROGRAM (IDP) AND THE ARCHITECTURAL REGISTRATION EXAMINATION (ARE) THROUGH THE NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS (NCARB) DIRECT REGISTRATION PROGRAM

(A) None at this time.

4. CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)

(A) Chair Prengaman moved to approve the application for the Certificate of Authorization for the Sole Proprietorship for Bruce Ronayne Hamilton Architects as submitted. Member Grosvenor

seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(B) Chair Prengaman moved to conditionally approve the applications for the Certificates of Authorization for the Limited Liability Companies for MSA Architecture and Urban Design and Selldorf Architects, Inc. as submitted pending receipt of a “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

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(C) Based on the Board’s approval of the architects registrations in responsible control, Chair Prengaman moved to conditionally approve the applications for the Certificates of Authorization for the Limited Liability Companies for Oudens Ello Architecture, LLC and URS Architects, LLC as submitted pending receipt of a “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman,

Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(D) Chair Prengaman moved to conditionally approve the applications for the Certificates of Authorization for the Corporations for Dixon Salo Architects, Inc.; Epstein Joslin Architects, Inc.; Kao Design Group, Inc.; and Shlemmer+Algaze+Associates, Interiors and Architecture, Inc. as submitted pending receipt of the required “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(E) Chair Prengaman moved to approve the Change in Responsible Control adding David R. Frieder (2235), Philip J. Poinelli (3601) and Theodore Szostkowski (3910) for the application for Certificate of Authorization for the Corporation for Symmes, Maini & McKee Associates, Inc., #A-14,274, as submitted. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(F) Chair Prengaman moved to approve the Change in Responsible Control removing William A. Stevenson (2851) and adding James R. Draheim (3880) and Ronald R. Henry (3883) for the application for Certificate of Authorization for the Corporation for URS Corporation AES, #A-14,375, as submitted. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(G) Based on the update from Attorney DeQuattro, Chair Prengaman moved to continue until Attorney DeQuattro's office could give the Board formal advice on the application for Certificate of Authorization for the Limited Liability Company for Herbst-Musciano, LLC, regarding the two (2) pending issues as follows: (1) Mr. Herbst objects his former firm name being used implying that it continues to be partnership of which he is no longer a partner; (2) Mr. Musciano applied with a non-legal ownership. Member Grosvenor seconded. After discussion, motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(H) Finegold Alexander + Associates, Inc. held a Certificate of Authorization #A-14,013 that expired on December 31, 2002. An initial application has been received with the initial \$100 application fee.

Based on Attorney DeQuattro's recommendations, Chair Prengaman moved to approve the application for the Certificate of Authorization for the Corporation for Finegold Alexander + Associates, Inc., #A-14,103, pending receipt of the back fees of \$660, and upon receipt of the back fees \$660, issue a conditional approval letter requesting a "Certificate of Good Standing" issued by the Rhode Island Secretary of State's Office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

(I) Chair Prengaman moved to conditionally approve the applications for the Certificates of Authorization for the Limited Liability Partnerships for Davis Brody Bond, LLP and Ennead Architects LLP as submitted pending receipt of a "Certificate of Legal Existence" issued by the Rhode Island Secretary of State's Office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

G. ADJOURNMENT

Chair Prengaman announced that the next Board meeting is scheduled for Monday, June 11, 2012, at 1:00 p.m. at the DBR, John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 68-1, Cranston, RI 02920. Secretary Ladds moved to adjourn the meeting at 2:53 p.m. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair David R. Prengaman, Secretary Christian J. Ladds and Members David L. DeQuattro and John K. Grosvenor. Vice-Chair Barbara Feibelman was absent from this vote.

Respectfully submitted,

**Christian J. Ladds, AIA
Secretary**

CJL/dmb

• **Posted on June 12, 2012 electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.**

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CERTIFICATE OF AUTHORIZATION (COA) APPLICATIONS

Board Meeting of JUNE 11, 2012

SOLE PROPRIETORSHIPS

BRUCE RONAYNE HAMILTON ARCHITECTS \$100 APPROVED –

05/14/12

LIMITED LIABILITY COMPANIES

**HERBST-MUSCIANO, LLC \$100. MA CONTINUE FOUR (4) MONTHS –
02/13/12**

**No record on file with this Board or the RI Sec. of State. NO
RESPONSE – CONTINUE – 03/12/12**

**03/15/12 - INITIAL APPL REC'D. CONTINUE – REFERRED TO LEGAL
COUNSEL FOR OPINION – 04/09/12**

PENDING LEGAL OPINION – 05/14/12

**MSA ARCHITECTURE AND URBAN DESIGN \$100. MA APPROVED –
05/14/12**

**LOUDENS ELLO ARCHITECTURE, LLC \$100. MA APPROVED
ARCHITECT REGISTRATION -**

Architect in Responsible Control is Pending APPROVED – 05/14/12

SELLDORF ARCHITECTS, LLC \$100. N6Y APPROVED – 05/14/12

**URS ARCHITECTS, LLC \$100. ID APPROVED ARCHITECT
REGISTRATION -**

Architect in Responsible Control is Pending APPROVED – 05/14/12

CORPORATIONS

DIXON SALO ARCHITECTS, INC. \$100. MA APPROVED – 05/14/12

EPSTEIN JOSLIN ARCHITECTS, INC. \$100. MA APPROVED – 05/14/12

**FINEGOLD ALEXANDER + ASSOCIATES INC. \$100. MA APPROVED
UPON RECEIPT OF BACK**

**#A-14,103 – Expired 12/31/02 (Back Fees Due?) FEES DUE PER
BOARD'S LEGAL COUNSEL –
05/14/12**

KAO DESIGN GROUP, INC. \$100. MA APPROVED – 05/14/12

**SHLEMMER+ALGAZE+ASSOCIATES, \$100. CA APPROVED – 05/14/12
INTERIORS AND ARCHITECTURE, INC.**

**SYMMES, MAINI & MCKEE ASSOCIATES, INC. - 0 - MA APPROVED –
05/14/12**

#A-14,274 – Change in Responsible Control

**Added: David R. Frieder (2235), Philip J. Poinelli (3601)
and Theodore Szostkowski (3910)**

URS CORPORATION AES - 0 - CA APPROVED – 05/14/12

#A-14,375 – Change in Responsible Control

**Added James R. Draheim (3880) and Ronald R. Henry (3883)
and Removed: William A. Stevenson (2851).**

LIMITED LIABILITY PARTNERSHIPS

DAVIS BRODY BOND, LLP \$100. NY APPROVED – 05/14/12

ENNEAD ARCHITECTS LLP \$100. NY APPROVED – 05/14/12

05/14/12

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