

MINUTES OF MEETING

January 21, 2014

The meeting of the Rhode Island Board of Accountancy was called to order by Mary F. Bernard, Chair, at 1511 Pontiac Avenue, Cranston, Rhode Island, at 1:35 p.m. In attendance were Board members Mary F. Bernard, David Platt, Kevin M Fountain, George O. Tashjian, Kevin P. Tracy, Dawne Broadfield, Administrative Support Specialist, Robert A. Mancini, Executive Director, Rhode Island Society of Certified Public Accountants (RISCPA), James E. Morrison, President-Elect (RISCPA) and Robert J. Saurio; Jr. (Present 1:33 p.m. – 1:41 p.m.)

1. Upon motion by Mr. Tracy and seconded by Mr. Fountain, it was unanimously VOTED that the minutes of the meeting of December 10, 2013 be accepted and placed on file, copies thereof having been distributed to each member of the Board prior to this meeting.

2. It was noted that NASBA sent the following information as listed on the agenda, which was sent to all members prior to the meeting:

a. NASBA – US Accountancy Licensee Database (ALD) Program Implementation – The Department of Business Regulation (DBR) and NASBA are working on the implementation of this project.

b. NASBA – CPAverify Program Implementation – DBR and NASBA are working on the implementation of this project.

c. NASBA – Uniform Accountancy Act (UAA) “Exposure Draft” Released for Comment containing revisions which are designed to

incorporate a change in the definition of “attest” – Tabled until finalization.

d. NASBA – Uniform Accountancy Act (UAA) “Exposure Draft” Released for Comment containing revisions to the Firm Mobility Language. – Tabled until finalization.

e. NASBAstore – Score Transfer Service – Board accepted. NASBA will be notified.

f. NASBA – Licensing Requirements For Foreign Accountants – Answer Questions. The Board will reach out to Stacey Grooms, NASBA, and inquire as to what other states are doing. The Board currently does not have procedures to deal with foreign certificates. The Board is looking for suggestions on how other states are dealing with this matter. This matter has been tabled.

3. American Institute of Certified Public Accountants (AICPA) matters:

a. AICPA Code of Professional Conduct - Ethics Codification Project – The Board is in receipt of the “Exposure Draft” of proposed revisions to the “AICPA Code of Professional Conduct” which is referenced in 247 pages. The Board will continue monitoring. Upon passing, it will review its Regulations regarding Professional Conduct. This matter was continued.

b. Comments from Robert Mancini, Executive Director, Rhode Island Society of Certified Public Accountants (RISCPA) – Mr. Mancini announced the following items. (1) The incoming RISCPA President, James Morrison. (2) The Society’s “Big Event” is scheduled at the Omni Hotel, January 22, 2014. (3) CPE Session. (4) The article that

appeared in the Providence Business News regarding a four (4) hours Leadership program and a series of training that is going to be offered. (5) The Annual Meeting in April. (6) Social “breakfast invite” to the Board at the Providence Marriott Hotel as a “thank you” for being able to communicate. (7) The Society’s offices will be moving by March 15, 2015.

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4. Public Company Accounting Oversight Board (PCAOB)

a. Review of Notifications Received – So noted.

**5. a. Upon motion by Mr. Fountain and seconded by Mr. Platt, it was unanimously VOTED to approve the following initial application(s) for certification and to issue a permit to practice for the period ending June 30, 2014 subject to receipt of the Ethics Course Certificate to:
Jessie Avendano (1050031) Kennedy Hawes, Jr. (1050068)**

b. Upon motion by Mr. Tracy and seconded by Mr. Tashjian, it was unanimously VOTED to approve the following initial application(s) for certification and to issue a permit to practice for the period ending June 30, 2014 to:

Mary Curtis (1050065) Casey E. Johnson (1050069)

Ronald H. Dean Jr. (1050066) Robert Christopher Salmani (1050070)

Brandon R. Gillett (1050067)

6. Upon motion by Mr. Tracy and seconded by Mr. Platt, it was unanimously VOTED to approve the following initial without examination (examination taken in another state) application(s) for certification and to issue a permit to practice for the period ending June 30, 2014 to:

Keith R. Clark (1050060) Christopher Michael Fusaro (1050059)

7. a. Upon motion by Mr. Platt and seconded by Mr. Fountain, it was unanimously VOTED to approve the following reinstatement application(s) with the required renewal fee shall apply for certification and to issue a permit to practice for the period ending as indicated below to:

Robert J. Sauriol, Jr. (2944) – (06/30/2017)

b. Upon motion by Mr. Platt and seconded by Mr. Tracy, it was unanimously VOTED to approve the following reinstatement application(s) with the required renewal fee shall apply for certification and to issue a permit to practice for the period ending as indicated below to:

Carl Johnson (1022) – (06/30/2016)

Ronald S. Ohsberg (1989) – (06/30/2017)

Donald W. Reilly (1424) – (06/30/2017)

8. Upon motion by Mr. Fountain and seconded by Mr. Tracy, it was unanimously VOTED to approve the following reciprocal

application(s) for certification and to issue a permit to practice for the period ending June 30, 2014 with renewal to June 30, 2017 to:

**Paul John Cavanagh (1050061) Terry Lynn Muehe Preli (1050063)
Benjamin Delaney (1050062)**

9. Old Business: New CPA designation for Candian CA's As of July 1, 2013, CA's in Ontario have now formally adopted the designation "Chartered Professional Accountant" and the abbreviation "CPA." This Board prohibits the usage of "CPA" in reference to "Chartered Professional Accountant." If it is used, it must be asterisked (*) and foot noted that that it is not misleading to the public. The Board will inquire with the NY Board to inquire how they are handling the situation. No response received from NY to date. Discussion occurred. It was suggested that the designation be footnoted. Mr. Mancini contacted his counterpart in New York for a response as to how New York is handling this. New York will respond to him and he will respond to the Board.

Frank S. Mansella, RI CPA #1771 and #C52 – Notification of Changes and Request for Proper Compliance Procedures. The Board will respond thanking him for the notice, will change the address and note that his license will expire June 30, 2016.

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10. New Business: No new business discussed.

11. The next meeting of the Board is scheduled for Tuesday, March 18, 2014, at 1:30 p.m.

12. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was unanimously VOTED to adjourn the regular meeting of the Board at 2:50 p.m. and conduct an Executive Session under Sections 42-46-4 and 42-46-5 (a) and (a) (4), R.I.G.L. for the purpose of discussing pending disciplinary investigations.

Respectfully submitted,

Kevin M. Fountain, CPA
Secretary

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