

REGULATORY AGENDA – JUNE 15, 2007

Agency Name and Address:

**Rhode Island Ethics Commission
40 Fountain Street
Providence, RI 02903**

PART I - Rules Promulgated *JANUARY 16, 2007 through JUNE 15, 2007:*

ERLID	Type Filing	Title	Effective Date
4404	Adoption	Regulation 36-14-5005.1 – Prohibited Activities – Nepotism	02/01/2007
4407	Amendment	Regulation 36-14-5007 – Prohibition on State Employment	02/01/2007
4405	Adoption	Regulation 36-14-5017 – Prohibited Activities – Revolving Door, “Employment” Defined.	02/01/2007
4406	Adoption	Regulation 36-14-5018 – Transition from State Government	02/01/2007

PART II - Expected Rule-making Activity *JUNE 16, 2007 through JANUARY 15, 2008:*

Type Filing	Title/Subject Area	Objective	Legal Basis	Anticipated Schedule	Agency Contact	Interested Parties
Amendment	Regulations re Complainant’s participation in proceedings	Add right of Complainant to address Commission, while removing Complainant from Executive Session.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees and complainants.
Amendment	Commission Procedures	Allow Commission to deliberate on complaint matters in executive session.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees.

Amendment	Commission Procedures	Require written decision and order when complaint is dismissed	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees.
Adoption	Regulation re Class Exception.	Clarify and narrow so-called “class exception” to conflict of interest prohibitions.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees.
Amendment	Regulations re Financial Disclosure	Clarify that public officials must disclose in financial disclosure form, leadership positions in non-profit organizations.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees.
Adoption	Commission Procedures	Clarify procedures for stenographic recording of Commission proceedings.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees.
Amendment	Commission Procedures	Require that copy of respondent’s answer be mailed to complainant.	R.I. Const., art. III, sec. 8; R.I. Gen. Laws § 36-14-9.	Public Hearings in September 2007.	Jason Gramitt, Esq., Rules Coordinator. 401-222-3790, Ext. 17.	State and Municipal elected officials, appointed officials, employees, and complainants.