

REGULATORY AGENDA – JANUARY 15, 2010

Agency Name and Address:

Department of Business Regulation, Securities Division
1511 Pontiac Avenue
Cranston, RI 02920

PART I - Rules Promulgated *JUNE 16, 2009* through: *JANUARY 15, 2010*

This agency did not promulgate rules during this period.

PART II - Expected Rule-making Activity *JANUARY 16, 2010* through *JUNE 15, 2010*:

Please indicate if your agency does not anticipate rule-making activity during this period, OR complete the following for each rule anticipated:

This agency does not anticipate rule-making activity during this period.