



# **RHODE ISLAND PAROLE BOARD 2015 Guidelines**

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**Annual Open Meeting  
December 5, 2015**

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## **MISSION STATEMENT**

The mission of the RI Parole Board is to enhance public safety, contribute to the prudent use of public resources and consider the safe and successful re-entry of offenders through discretionary parole.

## **PURPOSE**

(a) The purpose of these guidelines is to adopt standards to be used by the board in evaluating individual applications for parole and to establish, within the range of parole eligibility set by statute, the portion of a sentence which should be served depending on the likelihood of recidivism as determined by a risk assessment and the board's independent case analysis of the individual. The board will consider the applicable standard before rendering a decision on a parole application, and may make a determination at variance with that standard only upon a finding that the determination is warranted by individualized factors, such as the character and criminal record of the applicant, the nature and circumstances of the offense or offenses for which the applicant was sentenced, the conduct of the applicant while incarcerated, and the criteria set forth in § 13-8-14

(b) In each case where the board grants an application prior to the time set by the applicable standard or denies an application on or after the time set by that standard, the board will set forth in writing the rationale for its determination.

(c) Parole decision making involves more than risk assessment. Discretion is inherent at every level of the criminal justice system. The majority of offenders sent to prison will eventually be released at some point and the board is committed to using standards, consistent with statutory requirements, which enhance public safety and allow for the safe and successful re-entry of offenders when appropriate. Accordingly, the guidelines are not automatic nor is the parole risk assessment instrument score presumptive as to whether an offender will be paroled.

**STATUTORY AUTHORITY:** These guidelines are promulgated pursuant to R.I. General Laws § 13-8-1 et seq., and in accordance with Chapter 42-35, Administrative Procedures Act of the Rhode island General Laws of 1956, as amended.

## **Section 1.0: PROCEDURES**

### **1.01 Submission of Materials – Timing**

All submissions to the Parole Board by an inmate or on behalf of an inmate from a person or party outside of the Department of Corrections, including submissions by attorneys, must be in writing and must be received by the Parole Board Office prior to the first day of the month of the inmate's scheduled parole hearing. The Board will be under no obligation to consider any material not timely submitted under this section.

### **1.02 Waivers/Continuances**

An inmate may continue or waive his/her right to be considered at an Initial Parole Hearing (i.e. the first parole hearing eligibility date as calculated by the Department of Corrections), and may also continue a scheduled Reconsideration Hearing, and must do so in writing, on a waiver form provided by the Department of Corrections (Appendix A). Please note: Reconsideration hearings are discretionary and set by the Parole Board and requests to continue such hearings will be subject to approval by the Board, which may adjust a continuance date based on scheduling needs.

### **1.03 Reconsideration – Timing**

If the Board votes to deny parole, the Board will make every effort to afford an inmate reasonable opportunity at a reasonable intervals to present evidence of changes in the factors previously used in the formation of the Board's decision. If a majority of the Board sitting as a subcommittee cannot agree on setting a reconsideration hearing or denying parole without reconsideration, the vote shall default to reconsideration and Chairperson shall be authorized to schedule a reconsideration hearing at an interval proportionate to the time remaining on the inmate's sentence. In no case shall the interval for reconsideration exceed six (6) years. This provision shall operative *prospectively* not retroactively to any matter previously adjudicated by the Board.

### **1.04 Pending Charges - Continuation**

In order to protect an accused's right against self-incrimination and to allow the Board to fairly consider all information concerning an inmate, the parole consideration hearing for any inmate who has a pending criminal charge(s) shall be continued until disposition of the charge(s) is completed.

## **Section 2.0: COMPONENTS OF THE 2015 RHODE ISLAND PAROLE BOARD GUIDELINES**

The parole guidelines consist of two major components that interact to provide an actuarial based risk score. The first is a Risk Assessment Instrument that weighs both static and dynamic factors associated with the offender's record. The other component is the Offense Severity class. Any risk assessment is not a stand-alone tool but is designed to supplement the decision-making process by applying sound research and data and to improve justice system decisions and transparency.

### **2.01 RISK ASSESSMENT INSTRUMENT**

Static factors are those associated with the offender's prior criminal record. They will not change over time. Dynamic factors reflect characteristics the offender has demonstrated since being incarcerated and are factors that can change over time.

#### **Static factors include:**

- Past history of violent or assaultive convictions
- The Parole/Probation status at admission
- Prior felony convictions
- The commitment offense.

#### **Dynamic factors include:**

- Offender's current age
- Education, substance abuse, and vocational training programs completed during the present incarceration
- Prison disciplinary conduct severity in the prior 24 months
- Current scored custody level.

An offender can be assigned 0-8 points on static factors and 0-6 points on dynamic factors. A low score is associated with low risk. The higher the score,

the greater the risk the offender presents for a successful parole supervision term:

<i>Score Assigned Risk Level</i> Based on the total of static and dynamic factor points, the risk level to be assigned to the offender should be determined below:	<i>Points</i>
<b>Low Risk</b>	0-4
<b>Moderate Risk</b>	5-9
<b>High Risk</b>	10+

**Offense Severity Class**

Members of the Rhode Island Parole Board utilize the Department of Corrections Offense Severity scale in addition to the calculated score on the Rhode Island Parole Risk Instrument. Offense Severity classes range from Low for non-violent crimes to Highest for capital crimes. After both of the above factors have been considered, the two components of the guidelines are then merged into a matrix that creates the offender's Severity Risk Matrix.

The Rhode Island Parole Board Guidelines are not automatic nor is the parole risk score presumptive as to whether an offender will be paroled. Board members retain the discretion to vote outside the guidelines when the circumstances of an individual case merit.

The Board will continue to consider factors such as those listed in Rhode Island General Laws § 13-8-14 Release Criteria, and those listed forthwith in the Policy Statement of the Parole Board.

**2.02 POLICY STATEMENT – OTHER MAJOR CRITERIA**

In addition to the Rhode Island Parole Board Guidelines, board members will use the following major criteria in determining whether to grant or deny parole:

**A. ESCAPE**

Inmates convicted of escape shall wait for a minimum of six (6) months from date of return before being considered for parole, unless there are compelling circumstances.

## **B. INSTITUTIONAL ADJUSTMENT**

The Board will consider information pertaining to the parole candidate's institutional adjustment. In assessing this factor, the Board will consider the inmate's participation in and response to the programs available to him/her and his/her overall compliance with institutional regulations.

Substantial observance of the rules of the institution is a prerequisite to the issuance of a parole permit. The Board will view negatively any significant institutional disciplinary record and generally will not parole an inmate unless he or she has had no infractions in the prior six (6) months. Any inmate, who is in segregation at the time of his or her scheduled parole hearing, shall not be considered by the Board for a minimum of three (3) months following his/her scheduled release from segregation.

Although a satisfactory adjustment to prison life will not guarantee that the subject will be paroled, it greatly increases the probability of parole, because obedience to institutional rules is taken as an indication that the candidate will comply with parole conditions. A decidedly poor institutional adjustment will weigh heavily against the potential parolee.

An inmate's ability to progress from higher classifications to lower classifications within the correctional system may be indicative of institutional adjustment. The Board may consider special circumstances that explain why an inmate has not progressed from higher classifications to lower classifications which may include but are not limited to inmates who are in protective custody by their own choice or the decision of the administration. Individuals who choose to remain at higher levels of security to participate in programs in that facility will be given such consideration.

The Board will take into consideration any Security Risk Group (SRG) designation placed on the inmate by the Department of Corrections or out-of-state correctional facility, with a focus on those inmates deemed to be a security risk based on gang affiliation, sexual predatory activities, escape risk, or recommendation of Director of Corrections.

## **C. NEGATIVE TERMINATION FROM HOME CONFINEMENT**

Any person confined to Home Confinement pursuant to RIGL § 42-56-20.2 who is found to be a violator of any of the terms and conditions imposed upon him or her shall be ineligible for parole and shall serve the balance of his/her sentence.

#### **D. PAROLE PLAN**

The Board places great emphasis on the appropriateness of the parole plan. In evaluating the parole plan, the Board will consider the strength of the parole candidate's social ties, including whether the subject has a supportive family, resources available in the community, a job opportunity, and willingness to enroll in and complete relevant rehabilitation and/or educational programs. It is necessary for prospective parolees to have secure job plans and stable living arrangements upon return to the community, since these factors are strongly related to the successful completion of parole. In assessing the inmate's job plan, the Board will take into consideration efforts the inmate has made to secure a job while in job-search status and the likelihood that the inmate will be employed following release.

The Board will not grant parole to a candidate who is without a solid residential plan, or who will return to an environment and circumstances which are likely to contribute to further involvement in crime-oriented activity. In all cases, release on parole is contingent on the submission of a satisfactory parole plan. An inmate who has been granted parole will not be released until such time that the release plan is verified and deemed to be appropriate.

All parole plans, including those submitted by attorneys, are to be **submitted to the Board prior to the first day of the month** that the parole candidate is to be considered by the Board. This plan should include an updated job offer, which must be notarized, or on official company stationery; a residence letter, which shall contain the address and phone number of the residence with a brief statement by the occupant indicating a willingness to have the parole candidate reside at that location; and, any or all, supportive letters and certificates of program completion.

#### **E. POLICE, JUDICIAL, AND COMMUNITY INFORMATION**

The probability that an inmate will succeed on parole is greatly diminished if he/she will return to a community that has expressed hostility toward him/her. The Board will seldom deny parole solely on the basis of opposition from official or community representatives. However, evidence that the community and public officials are supportive will increase the probability that the parole candidate will be granted parole.

## **F. PRIOR PAROLE REVOCATIONS**

In evaluating the parole candidate's prior criminal record, the Board will consider the parole candidate's response to prior community supervision, and whether the present offense was committed while on probation or parole. A pattern of continual arrests will be interpreted as an indication that the subject is unlikely to succeed on parole. The Board will consider the offender's prior parole supervision terms and take into consideration any successful completion of supervision as well as any revocations and violation of the terms of release.

## **G. PSYCHOSOCIAL CHARACTERISTICS**

In evaluating the record on this dimension, the Board will consider information pertaining to the inmate's employment history, level of education, and attempts to attain a GED or participation in literacy-related education, occupational skills, mental health status (determined by reviewing recent psychological reports, when requested by the Board as needed), history of psychiatric treatment and hospitalizations, evidence of emotional stability, and initiative to enroll in and complete rehabilitative programs.

The Board will assess the inmate's participation in the substance abuse treatment programs while at the Adult Correctional Institution and prior to incarceration. The Board will look unfavorably on an inmate who is serving a sentence for drug or alcohol related crimes, or who has a substance abuse history, and fails to participate in treatment programs recommended by the Department of Corrections. In addition, the Board will consider whether the subject has a history of drug or alcohol abuse or other addictions (such as gambling).

The Board will take into consideration the level of violence used in the commission of the crime. Additionally, the board would require that inmates serving a sentence for domestic violence charges be required to successfully complete a domestic violence or violence abatement program prior to being seriously considered for parole.

## **H. PUBLIC AND COMMUNITY SAFETY**

In its deliberations during the parole hearing process, the Board shall determine that the release of an individual will not constitute a risk or threat to the physical safety and welfare of the community. In making this determination, evidence that a parole candidate has associated with convicted felons, or has threatened the lives of other(s) while incarcerated may be considered in finding that such behavior may continue if the parole candidate is released.

## **I. SERIOUSNESS OF THE OFFENSE**

It is the policy of the Board to consider the nature and circumstances of the offense, and to determine whether the purposes of retribution and general deterrence have been satisfied. In evaluating the case along this dimension, the Board will be guided by the official version of the offense and the length of the sentence imposed. The Board, however, will also take into consideration the parole candidate's version of the offense.

The Board is particularly concerned with offenses which (1) involved a weapon and/or physical injury or possible injury to the victim, and (2) crimes of a repetitive nature, such as a series of burglaries and drug sales, which reflect extensive involvement in crime-oriented activities. A number of mitigating and aggravating factors, including the parole candidate's motivation for committing the offense, his/her role in the offense, the amount of loss and/or injury to the victim, and the degree of sophistication evidenced in the offense, will also be taken into consideration. In general, it is not Board policy to deny parole solely on the basis of the nature and circumstances of the offense; there are, however, certain instances where denial on this basis may be warranted.

## **J. SEXUAL OFFENSES & CHILD MOLESTATION**

An individual found guilty of a sexual offense will not generally be seriously considered for parole until he/she has successfully taken part in the Sex Offender Treatment Program. The Board will consider the recommendation of the Sex Offender Treatment Program when evaluating an inmate for potential release on parole.

Additionally, the Board will consider the recommended conditions for release and post-release counseling. All offenders sentenced to the crime of child molestation shall be required to cooperate with the parole board psychiatrist/psychologist who may be asked to complete a comprehensive psychiatric/psychological examination to determine if the offender is at high risk to reoffend.

## **K. WORK RELEASE**

The Board will take into consideration an inmate's success or failure while in the work-release program at the Adult Correctional Institution. The Board will interpret success in this program to be indicative of a potential parolee's ability to maintain steady employment and to be successful on parole. Conversely, the Board will interpret failure in this program to be indicative that the potential parolee is unlikely to succeed on parole. If an inmate in one of the facilities other than Minimum is deemed by the Parole Board to be an excellent candidate for work release, the Board would recommend to the Department of Corrections that the inmate be placed in Minimum Security for

possible employment. The Director of the Department of Corrections shall have the authority to approve or disapprove the recommendation.

### **2.03 REVOCATION OF PAROLE**

The Parole Board considers any violation of the conditions of parole to be a very serious matter. However, after parole revocation, it will be solely up to the discretion of the Parole Board to determine the length of time the inmate will serve prior to being granted a reconsideration of parole.

**EXPEDITED PROCEDURE:** The Board will utilize an expedited revocation procedure for parole violations not involving new criminal offenses, where there is no dispute as to the charged violation, and the parolee charged with such violation freely admits to the violation and accepts the appropriate sanction imposed.

### **2.04 ACTIONS BY CHAIR**

The Chair of the Board is authorized to act for the Board between scheduled meetings in rescinding warrants, administratively approving discharge plans ordered by the Board, amending parole permits and otherwise controlling the supervision of parolees. Any such actions are subject to ratification by the Board or a subcommittee thereof at a scheduled meeting.

### **2.05 PUBLIC RECORDS**

It is the policy of the Rhode Island Parole Board not to release any information obtained from documents or records, which are not deemed to be public records pursuant to the provisions of RI Gen. Laws § 38-2-2 et. seq. Parole Board records are exempt from public disclosure since these records contain personal or medical information relating to an individual.

### **2.06 TAPE RECORDINGS**

The Rhode Island Parole Board shall record all Parole Board hearings with the use of a tape recording device and tape recorded records of all hearings shall be maintained by the Administrator for a period of three (3) years and shall not be deemed a public record.

### **2.07 OUT-OF-STATE INMATES**

Inmates will be considered in absentia, unless they request teleconferencing or videoconferencing, subject to availability and discretion of the Board. Inmates who have been involuntarily transferred out of state may request that the

Department of Corrections arrange for their transport back to Rhode Island to attend the parole hearing.

### **2.08 COMMUNITY SUPERVISION FOR CHILD MOLESTATION OFFENSES**

Pursuant to Rhode Island General Law §§13-8-30-33 the Parole Board will impose terms and conditions of community supervision on persons convicted of first and second degree child molestation at the completion of offender's prison sentence, release from incarceration or commencement of probation and may revise, alter or amend said conditions at any time.

A violation of the terms and conditions of community supervision will result in a separate offense, punishable by no more than one (1) year in prison; provided, if the violation also constitutes a criminal offense the term of imprisonment shall be consecutive to any sentence received for the commission of the new offense.

The Chairperson is authorized to set the initial terms of community supervision, subject to amendment or later modification by a subcommittee of the Board.

### **2.09 VICTIMS**

Victims (or immediate family members of minor or deceased victims) who request a meeting with the Parole Board may meet with the Board privately. The Parole Board will not inform inmates that victims met with the Board, and no information from such meetings will be shared with inmates, without consent from victims. Further, media coverage (television, radio, newspaper) may be present only with the consent of the victim(s). Victims who meet with the Parole Board must feel free to express their opinions and feelings about the inmate's application for parole and possible release from prison without concern about possible retribution (from the inmate or any other party) or invasion of their privacy. This policy is intended to comply with RIGL §12-28-2 (Victim's Rights), which requires that all crime victims be treated with dignity, respect and sensitivity in all phases of the process. This policy is consistent with RIGL§ 42-46 (Open Meetings), which recognizes as a legitimate exception any discussions of the physical or mental health of a person or persons.

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